SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-02									
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] Perelman Debra Golding				er Name and Ticke			5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
Perelman De	ebra Golding					1	X	Director	10% C	Dwner		
(Last) C/O REVLON, ONE NEW YO	1	(Middle)	3. Date 03/15	e of Earliest Transa /2021	ction (Month/E)ay/Year)	X	Officer (give title below) Presider	Other below nt & CEO	(specify)		
(Otre et)			4. If Ar	mendment, Date of	Original Filed	(Month/Day/Year)	6. Indiv Line)	idual or Joint/Group	Filing (Check Ap	oplicable		
(Street) NEW YORK	NY	10004					X	Form filed by One	Reporting Pers	on		
								Form filed by Mor Person	e than One Repo	orting		
(City)	(State)	(Zip)										
	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned											
1. Title of Security (Instr. 3) 2. Trans				2A. Deemed	3.	4. Securities Acquired (A)	or	5. Amount of	6. Ownership	7. Nature of		

1. Litie of Security (instr. 3)	2. Transaction Date (Month/Day/Year)	ZA. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)					5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Class A Common Stock	03/15/2021		М		24,662	A	(1)	76,823	D	
Class A Common Stock	03/15/2021		F		11,664 ⁽²⁾	D	\$13.3	65,159	D	
Class A Common Stock	03/15/2021		М		35,107	Α	(1)	100,266	D	
Class A Common Stock	03/15/2021		F		18,684(2)	D	\$13.3	81,582	D	
Class A Common Stock	03/15/2021		М		52,918	Α	(1)	134,500	D	
Class A Common Stock	03/15/2021		F		28,163(2)	D	\$13.3	106,337	D	
Class A Common Stock	03/15/2021		М		13,317	Α	(1)	119,654	D	
Class A Common Stock	03/15/2021		F		5,090(3)	D	\$13.3	114,564	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)															
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)	action of Ex		6. Date Exerc Expiration Da (Month/Day/Y	ate	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Restricted Stock Units	(1)	03/15/2021		М			24,662	(4)	(4)	Common Stock	24,662	\$ <mark>0</mark>	0	D	
Restricted Stock Units	(1)	03/15/2021		М			35,107	(4)	(4)	Common Stock	35,107	\$0	35,107	D	
Restricted Stock Units	(1)	03/15/2021		М			52,918	(4)	(4)	Common Stock	52,918	\$0	105,838	D	
Performance- based Restricted Stock Units	(6)	03/15/2021		М			13,317	(5)	(5)	Common Stock	13,317	\$ <u>0</u>	0	D	

Explanation of Responses:

1. Each restricted stock unit ("RSU") represents a contingent right to receive one share of Revlon, Inc. Class A Common Stock, par value \$0.01 per share, or, at the Issuer's election, the cash value thereof as of the dates that the RSUs are settled

2. Represents shares of Class A Common Stock withheld by the Issuer for the payment of withholding taxes due upon the vesting of each applicable tranche of previously-reported time-based RSUs.

3. Represents shares of Class A Common Stock withheld by the Issuer for the payment of withholding taxes due upon the vesting of a tranche of performance-based RSUs issued under the Fourth Amended and Restated Revlon, Inc. Stock Plan.

4. Represents the vesting on 3/15/2021 of one-third of previously-reported time-based RSUs.

5. Represents the vesting on 3/15/2021 of a tranche of performance-based RSUs.

6. Each performance-based RSU represents the right to receive, following vesting, one share of Revlon, Inc. Class A Common Stock, par value \$0.01 per share, or, at the Issuer's election, the cash value thereof as of the dates that the performance-based RSUs are settled, in each case, based upon the achievement of pre-established performance metrics.

/s/ Grace Fu for Debra G.

Perelman pursuant to a power-03/17/2021

of-attorney granted on 3/12/21 ** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.